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Universal Basic Education Fund Flow Chart

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INTRODUCTION

The Universal Basic Education (**UBE**) is an education reform programme of the Federal Government of Nigeria, conceived pursuant to the Compulsory, Free Universal Basic Education Act, 2004 (**UBE Act**). It was designed to provide free, compulsory, and continuous education for all school aged children, for at least nine years.

The UBE programme is executed in three broad components: (i) Early Childhood Care and Development Education, (ii) Primary Education and (iii) Junior Secondary Education. The Universal Basic Education Commission (UBEC) is the federal government agency which among other things, formulates the policy guidelines for the successful operation of the UBE programme across the federation. At the Lagos state level, the UBE Act pursuant to section 12, establishes for Lagos state – a State Universal Basic Education Board (**SUBEB**) and for each local government, a Local Government Education Authority (**LGEA**).

From a financing standpoint, the UBE Act prescribes that UBE programmes may be financed by: (a) a Federal Government block grant of not less than two percent (2%) of the Consolidated Revenue Fund of the Federation (**CRF**)¹ (b) funds or contributions in the form of Federal guaranteed credits; and (c) local and international donor grants.

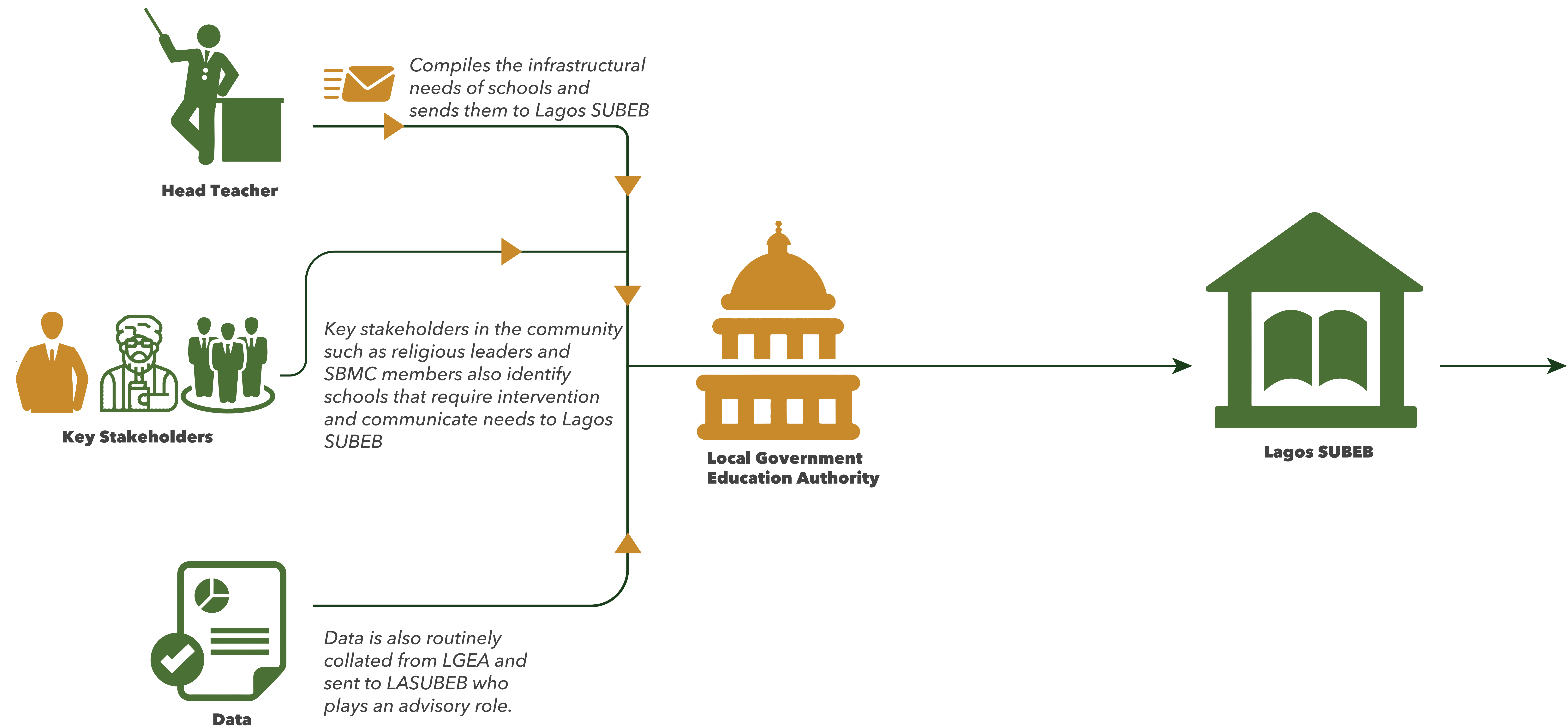
In Lagos state, the Lagos SUBEB is required to develop and submit an Action Plan to UBEC annually. The Action Plan contains detailed information about intervention projects to be implemented in public early childhood care and development (**ECCD**), primary and junior secondary schools.

In light of the above, The Education Partnership (**TEP**) Centre has summarized and graphically represented the processes involved in the generation and flow of funds to schools and communities in Lagos state from the State Universal Basic Education Board. This document therefore seeks to increase citizen awareness and involvement in education processes in Lagos State.

Next is an illustration of the UBE funds flow mechanism from the needs analysis process to the project implementation and monitoring stage:

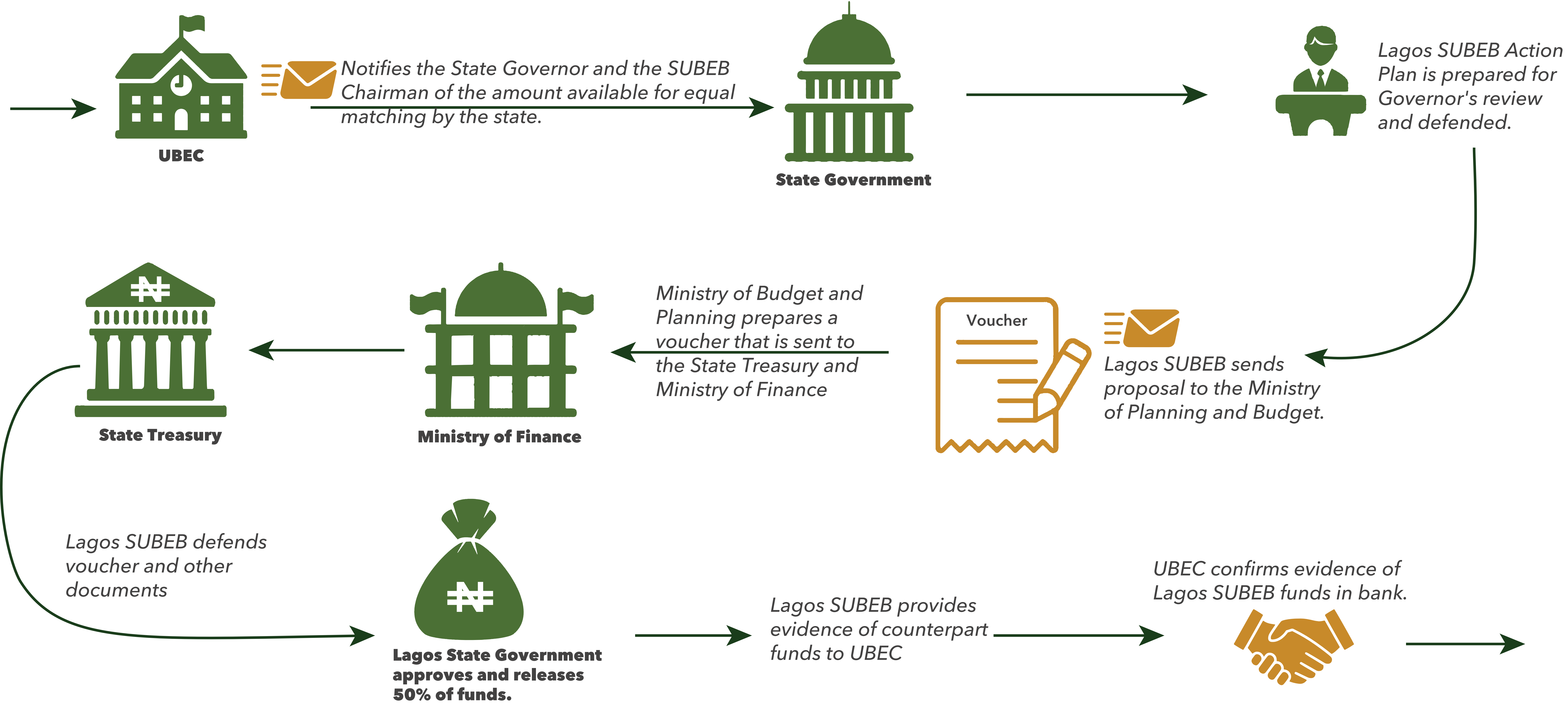
¹ Section 11(1)a of the UBE Act
(This information was valid at 2021)

Flow of UBE school infrastructural needs from community actors to the government



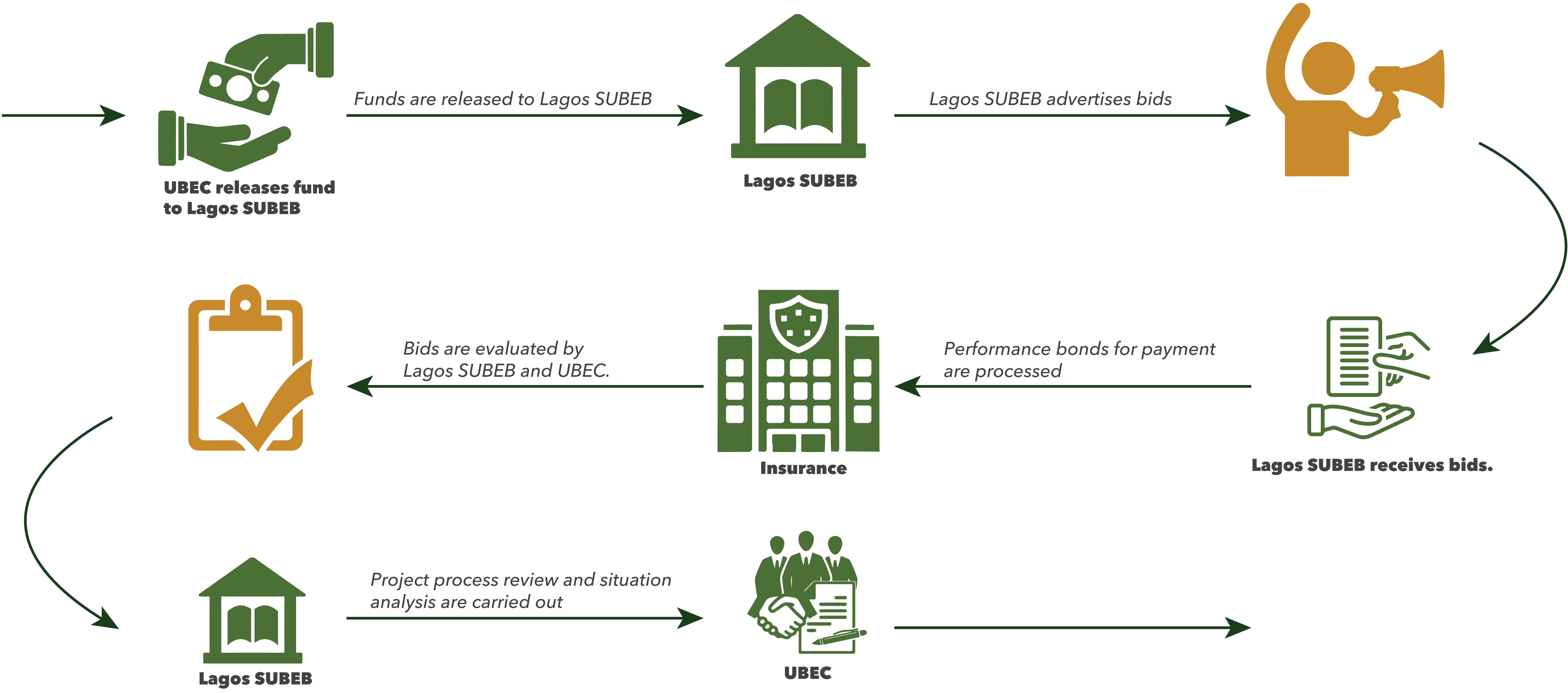
UBE Fund Cycle Phase 1: Needs Analysis

Flow of UBE Funds to Lagos SUBEB



UBE Fund Cycle Phase 2: UBE Counterpart Fund Request Process

Flow of UBE Funds from Lagos SUBEB to Contractors



UBE Fund Cycle Phase 3: Contracting Process

Flow of UBE Funds to Schools and Communities in Lagos State.



UBE fund Cycle Phase 4: Project Implementation And Monitoring

Flow of UBE Funds to Schools and Communities in Lagos State.

1. Needs Analysis

- (a) Infrastructural needs of schools are communicated to the head teacher.
- (b) The head teacher sends the needs to Lagos SUBEB through the LGEA office.
- (c) Key stakeholders in the community identify schools that require intervention. The needs of the schools are communicated to social mobilization department at Lagos SUBEB through officers at the local government level.
- (d) Data is collected from UBE schools monthly by the Planning Research and Statistics team at the local government level who advise Lagos SUBEB on the efficient use of funds.

2. UBE Counterpart Fund Request Process

- (a) UBEC sends a letter to Lagos SUBEB.
- (b) Action Plan generated for State Governor's preview.
- (c) Copy of state generated Action Plan sent to UBEC.
- (d) Action Plan approved by the State Governor.
- (e) Lagos SUBEB writes a proposal to the Ministry of Economic Planning and Governance for the preparation of a voucher to the State Treasury (STO).
- (f) Lagos SUBEB defends submitted documents within 5 working days.
- (g) Lagos SUBEB provides evidence of lodgement of funds to UBEC.
- (h) UBEC confirms lodgement of Lagos SUBEB funds.
- (I) Funds released to Lagos SUBEB.

3. Contracting Process

- (a) Funds released to Lagos SUBEB.
- (b) Lagos SUBEB advertises bids.
- (c) Lagos SUBEB receives bids.
- (d) Bids are evaluated by Lagos SUBEB and UBEC.
- (e) Lagos SUBEB awards contracts to successful contractors.
- (f) Contractors get performance bond from an insurance company for payment to be processed.
- (g) Lagos SUBEB transfers project funds to contractors.
- (h) UBEC carries out project process review and situation analysis.

4. Project Implementation And Monitoring

- (a) Lagos SUBEB project officers take contractors to the site.
- (b) Monitoring on the federal, state and local government levels done by UBEC, Lagos SUBEB, and LGEA.
- (c) UBEC periodically sends M&E personnel to monitor project implementation.
- (d) Lagos SUBEB project officers are main actors during project supervision.
- (e) Project Research and Development team at the LGEA level visit project site to evaluate the ongoing project.
- (f) After construction, there is a 6 months defect liability period for validation of the project delivered.

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